## **UTILITY PATENT APPLICATION TRANSMITTAL** (Small Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No. 114599-003

Total Pages in this Submission

### TO THE COMMISSIONER FOR PATENTS **Mail Stop Patent Application**

P.O. Box 1450 Alexandria, VA 22313-1450

ransr nventi			_	35 U.S	s.C. 111(a) and	37 C.F.R. 1.53	(b) is a new utility patent applic	cation for an	
M	ARK	ETIN	IG A BUSINESS EM	PLOYI	ING VOICE AN	D SPEECH RE	ECOGNITION TECHNOLOGY	1039	
and in	vente	d by:							
Ch	ristoj	per B	. Tomes						
L If a C	ONTI	NUA	TION APPLICATION	l, chec	ck appropriate b	ox and supply	the requisite information:		
	Conti	nuat	ion 🗌 Divisiona		Continuation	-in-part (CIP)	of prior application No.:		
Which									
			ion 🗌 Divisiona		Continuation	-in-part (CIP)	of prior application No.:		
Which			ion 🗌 Divisiona		Continuation	-in-part (CIP)	of prior application No.:	·····	
Enclo	sed a	are:							
					Application	n Elements			
1.	X	Filin	g fee as calculated a	nd trar	nsmitted as des	cribed below			
2.	X	Spe	pecification having pages and including the following:						
	a.	×	Descriptive Title of t	he Inv	ention				
	b.		Cross References to Related Applications (if applicable)						
	C.		Statement Regarding Federally-sponsored Research/Development (if applicable)						
	d.		Reference to Seque	nce Li	sting, a Table, o	or a Computer	Program Listing Appendix		
	e.	$\boxtimes$	Background of the I	nventio	on				
	f.	$\boxtimes$	Brief Summary of th	e Inve	ntion		·		
	g.	×	Brief Description of	the Dra	awings (if filed)				
	h.	×	Detailed Description						
	i.	$\boxtimes$	Claim(s) as Classifi	ed Belo	ow				
	j.	×	Abstract of the Disc	losure					

# UTILITY PATENT APPLICATION TRANSMITTAL (Small Entity)

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Application Elements (Continued)									
3.	X	Drawing(s) (when necessary as prescribed by 35 USC 113)							
	a.	☐ Formal Number of Sheets							
	b.	☐ Informal Number of Sheets 4							
4.	$\boxtimes$	Oath or Declaration							
	a.	☐ Newly executed (original or copy)      ☐ Unexecuted							
•	b.	☐ Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional application only)							
	C.	☐ With Power of Attorney ☐ Without Power of Attorney							
	d.	DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. 1.63(d)(2) and 1.33(b).							
5.		Incorporation By Reference (usable if Box 4b is checked)  The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.							
6.		CD ROM or CD-R in duplicate, large table or Computer Program (Appendix)							
7.		Application Data Sheet (See 37 CFR 1.76)							
8.		Nucleotide and/or Amino Acid Sequence Submission (if applicable, all must be included)							
	a.	☐ Computer Readable Form (CFR)							
	<b>b</b> .	☐ Specification Sequence Listing on:							
		i. CD-ROM or CD-R (2 copies); or							
	•	ii. 🔲 Paper							
	C.	Statement(s) Verifying Identical Paper and Computer Readable Copy							
		Accompanying Application Parts							
9.		Assignment Papers (cover sheet & document(s))							
10.		37 CFR 3.73(B) Statement (when there is an assignee)							
11.		English Translation Document (if applicable)							
12.		Information Disclosure Statement/PTO-1449							
13.		Preliminary Amendment							
14.	$\boxtimes$	Return Receipt Postcard (MPEP 503) (Should be specifically itemized)							
15.		Certified Copy of Priority Document(s) (if foreign priority is claimed)							
16.	$\boxtimes$	Certificate of Mailing							
		☐ First Class ☒ Express Mail (Specify Label No.): EV 352435434							

# UTILITY PATENT APPLICATION TRANSMITTAL (Small Entity)

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Docket No. 114599-003

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17.	×	Applicant claims small entity status. See 37 CFR 1.27.
		☐ (Optional) Small Entity Statement(s) - Specify Number of Statements Submitted:
18.		Additional Enclosures (please identify below):
		Request That Application Not Be Published Pursuant To 35 U.S.C. 122(b)(2)
19.	_	Pursuant to 35 U.S.C. 122(b)(2), Applicant hereby requests that this patent application not be published pursuant to 35 U.S.C. 122(b)(1). Applicant hereby certifies that the invention disclosed in this application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication of applications 18 months after filing of the application.
		Warning
	•	An applicant who makes a request not to publish, but who subsequently files in a foreign country or under a multilateral international agreement specified in 35 U.S.C. 122(b)(2)(B)(i), must notify the Director of such filing not later than 45 days after the date of the filing of such foreign or international application. A failure of the applicant to provide such notice within the prescribed period shall result in the application being regarded as abandoned, unless it is shown to the satisfaction of the Director that the delay in submitting the notice was unintentional.

**Accompanying Application Parts (Continued)** 

## UTILITY PATENT APPLICATION TRANSMITTAL (Small Entity)

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Docket No. 114599-003

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### Fee Calculation and Transmittal

#### **CLAIMS AS FILED** For #Filed #Allowed #Extra Rate Fee \$126.00 **Total Claims** \$9.00 34 - 20 = 14 7 \$172.00 4 \$43.00 Indep. Claims - 3 = х Multiple Dependent Claims (check if applicable) \$0.00 \$385.00 **BASIC FEE** \$0.00 OTHER FEE (specify purpose) **TOTAL FILING FEE** \$683.00

A check in the amount of

\$683.00

to cover the filing fee is enclosed.

☑ The Director is hereby authorized to charge and credit Deposit Account No.

02-1818

as described below.

☐ Charge the amount of

as filing fee.

☑ Credit any overpayment.

☑ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.

☑ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance,

pursuant to 37 C.F.R. 1.311(b).

Dated: November 12, 2003

Jeffrey H. Canfield Reg. No. 38,404

**BELL, BOYD & LLOYD LLC** 

P. O. Box 1135

Chicag , IL 60690-4233

CC:

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Christopher B. Tomes

Appl. No.:

Unknown

Filed:

November 12, 2003

Title:

(

MARKETING A BUSINESS EMPLOYING VOICE AND SPEECH

RECOGNITION TECHNOLOGY

Art Unit:

Unknon

Examiner:

Unknown Docket No.: 114599-003

Mail Stop Patent Applications Commissioner for Patents P.O. Box 1450

Alexandria, VA 22313-1450

### **CERTIFICATE OF MAILING BY EXPRESS MAIL UNDER 37 CFR 1.10**

Sir:

I hereby certify that the following documents relating to the above-identified application:

- 1. Transmittal Letter (in duplicate);
- 2. Specification (21 pages);
- 3. Figures (4 informal sheets);
- 4. Declaration and Power of Attorney (3 pages);
- 5. Check in the Amount of \$ 683.00; and
- 6. Return Receipt Postcard.

are being deposited with the United States Postal Service with sufficient postage as

Express Mail in an envelope addressed to:

Mail Stop Patent Applications Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

on November 12, 2003.

Respectfully submitted,

BELL, BOYD & LLOYD LLC

Renee Street Name of Person Mailing Correspondence

Signature

EV 352435434 US

Express Mail Mailing Label Number